

Alternative Trading Systems Effective Registrations as of March 1, 2013

Full Name of Alternative Trading System (as provided in item 1.A. of Form ATS)	Name(s) Under Which Business Is Conducted (as provided in item 1.B. of Form ATS)	City and State of Alternative Trading System (as provided in item 1.F. of Form ATS)
Alerus Securities		Grand Forks, ND
Apogee	Citadel Securities LLC	Chicago, IL
Aqua Securities L.P.		New York, NY
ArcaEdge	Archipelago Trading Services, Inc.	Chicago, IL
ATS-1	Morgan Stanley & Co. Incorporated	New York, NY
ATS-1 (Merrill Lynch)		New York, NY
ATS-2 (Merrill Lynch)	Instinct X	New York, NY
ATS-4	Morgan Stanley & Co. Incorporated	New York, NY
ATS-5	Morgan Stanley & Co. Incorporated	New York, NY
ATS-6	Morgan Stanley & Co. Incorporated	New York, NY
Automated Equity Finance Markets, Inc.	AQS	New York, NY
AX Trading, LLC		Stamford, CT
Barclays ATS	LX	New York, NY
Barclays DirectEx	Barclays Capital, Inc.	New York, NY
BGC Financial L.P.	BGC Financial	New York, NY
BIDS Trading, L.P.	BIDS Trading	New York, NY
BlackRock Capital Markets LLC	The Aladdin Trading Network	New York, NY
BLOOMBERG TRADEBOOK LLC, as operator of BLOOMBERG TRADEBOOK SYSTEM	TRADEBOOK	New York, NY
BLOOMBERG TRADEBOOK LLC, as operator of BLOOMBERG TRADEBOOK TBX SYSTEM	Tradebook TBX and IOIX	New York, NY
BondDesk Trading LLC		New York, NY
Bonds.Com, Inc.	BondPro	Boca Raton, FL
Brokertec	ICAP Electronic Broking LLC	Jersey City, NJ
CITI CREDIT CROSS	Citigroup Global Markets Inc. (CGMI)	New York, NY
CITICROSS	Citigroup Global Markets Inc. (CGMI)	New York, NY
ConvergEx Cross		New York, NY
ConvergEx Millennium		New York, NY
Credit Suisse Securities (USA) LLC	Light Pool	New York, NY

Full Name of Alternative Trading System (as provided in item 1.A. of Form ATS)	Name(s) Under Which Business Is Conducted (as provided in item 1.B. of Form ATS)	City and State of Alternative Trading System (as provided in item 1.F. of Form ATS)
Credit Suisse Securities (USA) LLC	CrossFinder	New York, NY
Curian Clearing, LLC		Denver, CO
Dealerweb, Inc.	Dealerweb	Jersey City, NJ
DEEP ATS, LLC		Houston, TX
Deutsche Bank Securities, Inc.	SuperX	New York, NY
eBX LLC	Level ATS	Boston, MA
FixCenter, LLC		New York, NY
Folio Investing Note Trader Platform	Foliofn Investment, Inc.	McLean, VA
FOLIOfn Investments, Inc.		McLean, VA
GATE US LLC		New York, NY
GETMatched ATS		Chicago, IL
GFI Securities LLC		New York, NY
Grant Street Securities, Inc.		Pittsburgh, PA
Hartfield, Titus & Donnelly LLC	HTDOnline	Jersey City, NJ
IATMarkets, LLC		New York, NY
ICAP Trading System	ICAP Corporates	Jersey City, NJ
ICE Creditbook	Creditex Securities Corp.	New York, NY
ICE Match	ICE Execution Services, LLC	New York, NY
Instinet Continuous Block Crossing System (CBX)	Instinet, LLC	New York, NY
Instinet Crossing Real-Time Trading Services (RTTS)	Instinet, LLC	New York, NY
Interactive Brokers LLC		Greenwich, CT
J.P. Morgan ATS ("JPM-X")	J.P. Morgan Securities LLC	New York, NY
JET-X		New York, NY
Knight Capital Americas LLC	Knight Match	Jersey City, NJ
Knight Capital Americas LLC	Knight BondPoint	Jersey City, NJ
LavaFlow ECN		New York, NY
Lending Club Trading Market	FOLIOfn Investments, Inc.	Arlington, VA
LiquidNet H2O ATS		New York, NY
Liquidnet Negotiation ATS		New York, NY
LiquidPoint NXP		Chicago, IL
LIQUIFI	Citigroup Global Markets Inc. (CGMI) and CitiCross (CX)	New York, NY

Full Name of Alternative Trading System (as provided in item 1.A. of Form ATS)	Name(s) Under Which Business Is Conducted (as provided in item 1.B. of Form ATS)	City and State of Alternative Trading System (as provided in item 1.F. of Form ATS)
Maps ECN	Marco Polo Securities	New York, NY
MarketAxess Corporation		New York, NY
Mismi, Inc.		New York, NY
National Financial Services, LLC	CrossStream, CrossStream BLOX SM (BLOCK Liquidity Opportunity Cross)	Boston, MA
OTC Link LLC		New York, NY
PDQ ATS Inc.		Glenview, IL
Phoenix Derivatives Group, LLC		New York, NY
POSIT		New York, NY
PPG West, LLC		New York, NY
Pragma OneCross	Pragma Securities, LLC	Greenwich, CT
PRO Securities, LLC		Newark, NJ
Real Time Platform	Creditex Securities Corp.	New York, NY
RiverCross	River Cross Securities, LLLP	Bala Cynwyd, PA
Schwab BondSource	Chales Schwab & Co. Inc.	San Francisco, CA
Second Market, Inc.		New York, NY
Shares Post Financial Corporation		San Bruno, CA
SIGMA X	Goldman Sachs Execution & Clearing, LP (GSEC)	Jersey City, NJ
Spread Zero LLC	Spread Zero or Spread Zero ATS	New York, NY
State Street Global Markets LLC	BlockCross ATS	Boston, MA
Sterling Trader Execution Services, Inc.	Sterling ATS	Chicago, IL
Structured Credit Connection		New York, NY
Sutter Securities Incorporated	Zanbato ATS	San Francisco, CA
TheMuniCenter, L.L.C.		New York, NY
TradeWeb L.L.C.		Jersey City, NJ
Tullet Prebon Financial Services LLC		New York, NY
UBS ATS	UBS Securities LLC	Stamford, CT
Variable Investment Advisors, Inc. ATS (VIAATS)	www.AGSTOCKTRADE.COM, www.LIQUIDITYMAKER.COM	Sioux Falls, SD
Vega-Chi US Limited		New York, NY
VortEx		New York, NY
Wells Fargo Securities, LLC	Wells Fargo Liquidity Crosser	New York, NY

Full Name of Alternative Trading System (as provided in item 1.A. of Form ATS)	Name(s) Under Which Business Is Conducted (as provided in item 1.B. of Form ATS)	City and State of Alternative Trading System (as provided in item 1.F. of Form ATS)
XE Xpert Securities, Inc.	Weeden & Co.	Greenwich, CT San Mateo, CA

Cessation of Operations Reports Recently Filed

Full Name of Alternative Trading System (as provided in item 1.A. of Form ATS)	Name(s) Under Which Business Is Conducted (as provided in item 1.B. of Form ATS)	City and State of Alternative Trading System (as provided in item 1.F. of Form ATS)
Charles River Equity Crossing Network	CREX	Burlington, MA
NX ATS	Nomura Securities International, Inc.	New York, NY
SunGard ATS (f/k/a Assent)	Fox River ATS	Jersey City, NY
Track ECN	Track Data Securities Corp.	Brooklyn, NY
VCM Securities, LLC	VOLMAX Oddlots	New York, NY
Virtu Financial Execution Services LLC (f/k/a EWT)		New York, NY